

Reward date	Rewardee	Reward amount (US\$)	Sanction amount (US\$)	Reward %	Reward law/ program	Agencies, Courts	Sanctioned entities	Industry	Law/regulatory violations	Case #	Nature of violations	Location of violations	Sources
1 Oct 2013	Michael Sears	14.7 million	147 million	10,0	Dodd-Frank	SEC, US District Court-N. District of Illinois	A Chicago Convention Center, Anshoo Sethi, Intercontinental Regional Center Trust of Chicago	Investment	EB-5 federal visa program	13-cv-982 (Court)	Raised more than \$145 million from investors by making material misrepresentations about the EB-5 program	Illinois, US	https://www.sec.gov/newsp/press-release/2013-209 https://www.sec.gov/litigation/complaints/2013/com-p-pr2013-20.pdf
Aug 2016	Eric Ben-Artz, 1 anonymous	16.5 million (total)	55 million	30,0	Dodd-Frank	SEC	Deutsche Bank	Banking	financial reporting fraud		Fraudulently inflated the value of the bank's portfolio of credit derivatives	Germany	https://www.sec.gov/newsp/press-release/2017-216 https://www.ft.com/content/f9d4d8e8-03b2-11e5-b55e-00144feabdc0
30 Aug 2016	1 anonymous	22 million	80 million	27,5	Dodd-Frank	SEC	Monsanto	Chemical	inadequate internal accounting controls	3-17107 (SEC)	Failed to properly account for millions of dollars in rebates offered to retailers and distributors of Roundup; booked revenue from sales but failed to recognize all related costs	Missouri, US	https://www.sec.gov/litigation/admin/2016/33-10037.pdf https://www.sec.gov/newsp/press-release/2016-172 https://www.sec.gov/newsp/press-release/2016-25
19 Mar 2018	3 anonymous	83 million (total)	415 million	20,0	Dodd-Frank	SEC	Merrill Lynch	Banking	SEC Customer Protection Rule / Exchange Act Rule	2018-6 (SEC)	Misused customer funds by holding billions of dollars in a clearing account when it should have been held in reserve	New York City, US	https://www.sec.gov/newsp/press-release/2016-128 https://www.sec.gov/rules/other/2018/34-82897.pdf https://www.sec.gov/newsp/press-release/2018-44
2015	Carson Block	14 million	55.6 million	25,0	Dodd-Frank	SEC	Focus Media, Jason Jiang	Internet advertising	withholding information from investors		Withholding critical information from investors in connection with the 2010 sale of the company's Internet advertising business	Shanghai, China	https://www.wsj.com/articles/short-seller-carson-block-sued-over-14-million-whistleblower-award- https://www.sec.gov/enforcement/information-for-harmed-investors/focus-media-holding-jason-jiang https://www.sec.gov/litiga

10 July 2020	2 anonymous	16.7 million (total)	122 million	13,0	False Claims Act	DOJ, federal courts	Universal Health Services, UHS of Delaware, Turning Point Care Center	Health care	Medicare, Medicaid, TRICARE, Department of Veterans Affairs, Federal Employee Health Benefit	Billed federal healthcare programs for medically unnecessary inpatient behavioral health services, failed to provide adequate or appropriate services, paid illegal inducements to program beneficiaries	Delaware / Georgia / Pennsylvania / Michigan, US	https://www.justice.gov/uao-mdfl/pr/universal-health-services-inc-and-related-entities-pay-122-million-settle-false-claims
31 August 2020	4 anonymous	13.75 million (total)	57.75 million	23,8	False Claims Act	DOJ	Bechtel National, Bechtel Corporation, AECOM Energy & Construction, Waste Treatment Completion Company	Construction / public works	federal contracting fraud	Overbilled Department of Energy for work on the Hanford Waste Treatment Plant, including billing for unallowable and unreasonable idle time caused by management failures in scheduling work	Washington State, US	https://www.justice.gov/uao-edwa/pr/bechtel-aecom-us-department-energy-doe-contractors-agree-pay-5775-million-resolve-0
7 March 2022	James Landolt, Charles Strunck, Lisa Pratta	29.7 million (total)	149.9 million	19,8 (total)	False Claims Act	DOJ	Mallinckrodt ARD LLC	Health care	Medicaid fraud	Mallinckrodt and its predecessor Questcor Pharmaceuticals knowingly underpaid state Medicaid programs by improperly calculating amounts it owed under the Medicaid Drug Rebate Program, and unlawfully used a foundation as a conduit to subsidize	New Jersey, US	https://constantinecannon.com/whistleblower/government-enforcement-actions/march-7-2022/ https://www.justice.gov/opa/pr/mallinckrodt-agrees-pay-260-million-settle-lawsuits-alleging-underpayments-medicaid-drug

Year	Whistleblower	Amount	Value	Percentage	Law	Agency	Company	Industry	Crime	Year	Description	Location	Source
2010	Cheryl Eckard	96 million	750 million	12,8	False Claims Act	DOJ	GlaxoSmithKline	Pharmaceuticals	fraud		Drugs were being produced in a non-sterile environment; the factory's water system was contaminated with micro-organisms; medicines were being made in the wrong doses	Puerto Rico	https://www.theguardian.com/business/2010/oct/27/glaxosmithkline-whistleblower-awarded-96m-payout https://www.theguardian.com/business/2010/jul/15/glaxosmithkline-legal-charge-avandia
2012	Thomas Gerahty, Matthew Burke, Blair Hamrick, Greg Thorpe	250 million (estimated total)	3 billion	8,3	False Claims Act	DOJ	GlaxoSmithKline	Pharmaceuticals	fraud		Off-label marketing of drugs including Paxil, Welbutrin and Advair; false price reporting practices; failing to disclose the potential health risks of drugs to the FDA	UK	https://www.phillipsandcohen.com/phillips-cohen-whistleblower-case-settles-part-record-glaxosmithkline-settlement/ https://www.justice.gov/opa/pr/glaxosmithkline-plead-guilty-and-pay-3-billion-resolve-fraud-allegations-and-failure-report
19 May 2021	1 anonymous	45 million	281 million	16,0	FCPA	SEC, DOJ	Panasonic	Electronics	bribery	2021-50 (SEC)	Bribery in Asia and Europe by a US subsidiary of Panasonic	Asia, Europe	https://www.sec.gov/news/press-release/2021-86?utm_medium=email&utm_source=govdelivery https://www.sec.gov/rules/other/2021/34-91933.pdf https://www.wsj.com/articles/whistleblower-is-awarded-28-million-in-panasonic-avionics-case-11621443228
19 December 2022	1 anonymous	37 million			FCPA	SEC, DOJ		Health care	bribery	2023-21 (SEC)	Inflating the profit margin on sales of healthcare products sold to foreign hospitals and using the extra cash to bribe foreign	Europe	https://www.sec.gov/rules/other/2022/34-96527.pdf https://www.wsj.com/articles/whistleblower-in-healthcare-bribery-case-won-the-largest-sec-award-this-year-

											government officials to procure business	11671498462	
10 August 2021	1 anonymous	3.5 million	11.7 million	29,9	FCPA	SEC, DOJ	Juniper Networks	Networking , cybersecurity	bribery	2021-80 (SEC)	Engaging in a large "channel partner discounting" bribery scheme in Russia; secretly agreeing with third-party distributors to fund leisure trips for customers, including government officials, through the use of off-book accounts	Russia	https://www.connorslawgroup.com/news https://www.wsj.com/articles/whistleblower-is-awarded-over-3-5-million-in-juniper-bribery-case-11628630066 https://www.sec.gov/rules/other/2021/34-92621.pdf
25 September 2020	1 anonymous	1.8 million	14 million	12,9	FCPA	SEC, DOJ	Orthofix	Medical devices	bribery	2020-34 (SEC)	Engaging in an alleged kickback scheme operated by an Orthofix subsidiary in Brazil; improperly booking revenue; making improper payments to doctors at government-owned hospitals	Brazil	https://www.wsj.com/articles/whistleblower-in-orthofix-bribery-case-awarded-1-8-million-11601064562 https://www.sec.gov/rules/other/2020/34-89996.pdf
24 May 2019	1 anonymous (former surgeon / former president of Brazilian Orthopedic Sports Medicine Society)	4.5 million	30 million	15,0	FCPA	SEC, DOJ	Zimmer Biomet Holdings	Medical devices	bribery	2019-6 (SEC)	Using corrupt distributors to win government business; "a bribery scandal...that spanned the globe"	Brazil	https://www.sec.gov/rules/other/2019/34-85936.pdf https://www.wsj.com/articles/sec-issues-4-5-million-whistleblower-award-11558738601

8 September 2022	1 anonymous	8.8 million	35 million	25,1	IRS	IRS			tax evasion				https://www.prweb.com/releases/tax_whistleblowers_get_8_8_million_dollar_reward_as_irs_revisits_earlier_decision_and_triples_award_amount/prweb18885431.htm
11 September 2012	Bradley Birkenfeld	104 million	780 million	13,3	IRS	IRS, DOJ, US District Court-S. District of Florida	UBS AG	Banking	tax evasion	09- 60033- CR- COHN (Court)	Hiding the assets of Americans in order to avoid paying taxes	Switzerland	https://kcc.com/wp-content/uploads/2020/04/Birkenfeld-award.pdf https://legaltimes.typepad.com/files/deferred-prosecution-agreement-signed.pdf
3 August 2016	2 anonymous	17.8 million	74.1 million	24,0	IRS	IRS, US Tax Court			tax evasion	147 T.C. No. 4 (Court)			https://my.kiplinger.com/members/links/ktl/160812/Whistleblower_v_Commissioner.pdf https://casetext.com/case/whistleblower-21276-13w-v-commr-2
August 2015	1 anonymous	11.6 million			IRS	IRS			corporate tax fraud				https://www.corporatecreporter.com/news/2010/tax-whistleblower-receives-11-6-million-dollar-award/
October 2012	1 anonymous ("Mr. ABC")	2 million			IRS	IRS	Illinois Tool Works						https://www.reuters.com/article/us-usa-tax-whistleblower-idUKBRE8931PS20121004 https://www.cnbc.com/2012/10/04/irs-pays-whistleblower-maximum-2-million-reward-for-information-about-alleged-tax-avoidance-scheme-by-illinois-tool-works.html

15 October 2021	1 anonymous	200 million (estimated)	2,5 billion	8,0	CFTC	CFTC, DOJ, UK Financial Conduct Authority	Deutsche Bank	Banking, investment	Commodity Exchange Act	21-WB-07 (CFTC)	Manipulating the benchmark interest rate Libor	UK	https://www.wsj.com/articles/cftc-issues-its-largest-whistleblower-award-of-nearly-200-million-11634837176?st=fnjcvgyt06ansv&reflink=article_copyURL_share https://www.cftc.gov/PressRoom/PressReleases/8453-21
12 July 2018	1 anonymous	30 million	307 million	9,8	CFTC	CFTC, SEC	JPMorgan Chase Bank	Banking, investment	Commodity Exchange Act	18-WB-02 (CFTC)	Did not properly disclose conflicts of interests to clients	New York, US	https://www.cftc.gov/PressRoom/PressReleases/7753-18 https://www.sec.gov/news/press-release/2015-283
2 August 2018	1 anonymous	45 million	700 million	6,4	CFTC / Dodd-Frank	CFTC	Citibank, Goldman Sachs, #Royal Bank of Scotland	Banking, investment	Commodity Exchange Act	18-WB-03, 18-WB-04, 18-WB-05 (CFTC)	Manipulating the ISDAFIX interest-rate benchmark, which is used by the \$544 trillion private derivatives market	various locations	https://markets.businessinsider.com/news/stocks/whistleblower-gets-largest-ever-cftc-award-for-exposing-the-isdafix-scandal-garson-segal-steinmetz-fladgate-llp-1027435727 https://www.cftc.gov/PressRoom/PressReleases/7767-18
1 October 2020	Benigno Ignacio Jr., Ronald C. Garma, Martin Diuco	450,000 (total)	2 million	22,5	Act to Prevent Pollution from Ships	DOJ, US District Court-E. District of Virginia	Diana Wilhelmsen Management Limited	Commercial shipping	Act to Prevent Pollution from Ships	2:21-cr-6 2:21-cr-20 (District Court)	Oily bilge water disposed of overboard was reported in the Oil Record Book; an emergency dewatering system was used to dump fluid from the bilge's holding tank, duct keel and bilge wells overboard	Virginia, US	https://www.justice.gov/opa/pr/shipping-company-fined-2-million-multi-district-case-concealing-illegal-discharges-oily-water https://kkc.com/wp-content/uploads/2021/10/DWM-Award.pdf
21 March 2007	12 anonymous	5.25 million (total)	37 million	14,2	Act to Prevent Pollution from Ships	DOJ, several US District Courts	Overseas Shipping Group, Inc.	Commercial shipping	Act to Prevent Pollution from Ships	several	Illegally discharging sludge and oily waste; defective waste oil incinerator; concealing the pollution through	various locations	https://kkc.com/wp-content/uploads/2020/04/overseas-shipholding-group-inc.-motion.pdf https://kkc.com/wp-content/uploads/2020/04/overseas-shipholding-

										falsifying their Oil Record Book		group-inc. plea-agreement.pdf	
7 April 2015	4 anonymous	1.075 million (total)	2.75 million	39,0	Act to Prevent Pollution from Ships	DOJ, US District Court-M. District of Florida	Carbofin	Commercial shipping - LP gas	Act to Prevent Pollution from Ships	8:14-cr-500-T-33TGW (District Court)	Illegally dumping oily sludge	Florida, US	https://kkc.com/wp-content/uploads/2020/04/carbofin-court-order-for-wb-payment.pdf https://kkc.com/wp-content/uploads/2020/04/carbofin-plea-agreement.pdf
17 July 2019	8 named	1 million (total)	2 million	50,0	Act to Prevent Pollution from Ships	DOJ, US District Court-W. District of Louisiana	Interorient Marine Services Ltd	Commercial shipping	Act to Prevent Pollution from Ships	2:18-cr-00366 (District Court)	Maintained false and incomplete oil records that failed to reflect the oily bilge water and cargo residue dumped into the ocean, bypassing pollution-prevention equipment by dumping waste with fresh water	Louisiana, US	https://kkc.com/wp-content/uploads/2021/10/Inter-Whistleblower-Awards.pdf https://kkc.com/wp-content/uploads/2021/10/Inter-Factual-Basis-for-Guilty-Plea.pdf
12 April 2021	1 anonymous	1 million	12 million	8,3	Act to Prevent Pollution from Ships	DOJ, US District Court-E. District of North Carolina	Pacific Carriers Limited	Commercial shipping	Act to Prevent Pollution from Ships	4:20-cr-00087 (District Court)	Crew members failed to record the discharge of oily waste and bilge water in the Oil Record Book; bypassing pollution-prevention infrastructure for 5 months while traveling to North Carolina	North Carolina, US	https://kkc.com/wp-content/uploads/2021/10/PCL-Award.pdf https://kkc.com/wp-content/uploads/2021/10/PCL-Judgment.pdf